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| Application Number | 09/820,053 | | |
| Filing Date | March 28, 2001 | | |
| First Named Inventor | Donald R. Owen | | |
| Group Art Unit | 1646 | | |
| | Marcus Kirk | | |
| Examiner Name Attorney Docket Number | 05111.0027.NPUS00 | | |
| Allottiey Docker Harrison | | | |

| Total Number of | Pages in This Subm | ission Attorney Docket Numbe | 03111.002711 |
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| | | ENCLOSURES (check | all that apply) |
| Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/ded Extension of Time R Express Abandonm Information Disclos Certified Copy of Procument(s) Response to Missir Incomplete Application | claration(s) Request Request ure Statement riority | Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice. Bnef. Reply Bnef) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO Form-1449 w/cited references Postcard |
| | SIGNA | TURE OF APPLICANT, ATTORNEY, O | R AGENT |
| Firm or Individual name Signature | Christopher J. Buntel, Ph.D.; Howrey Simon Arnold & White, LLP Reg. No. 44,573; Customer No. 23369 October 14, 2002 | | |
| Date | | | |
| | | CERTIFICATE OF MAILING | ith aufficient postage as First Class Mail in an |
| In ereby certify that this c | correspondence is be | eing deposited with the U.S. Postal Service wi ents, Washington,D C 2023; on this date: | Ostobor 14, 2002 |

Christopher J. Buntel, Ph.D.

Typed or printed name



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Donald R. Owen

Serial No.: 09/820.053
Confirmation No.: 3080

Filed: March 28, 2001

For: SHORT BIOACTIVE PEPTIDES

Group Art Unit: 1646

Examiner: Marcus Kirk

Att'y Docket: 05111.0027.NPUS00

Client Docket: HELX:027

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C.

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20231, on the date below

October 14, 2002

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g).(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 01-2508/05111.0027.NPUS00.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted.

Christopher J. Buntel, Ph.D.

Reg. No. 44,573

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October 14, 2002